ReAssure

Confirmation of financial advice

You will need to provide us with written evidence that you've given your customers regulated financial advice if:

- their pension policy contains a safeguarded benefit,
- the value of this policy is more than £30,000 and,
- they are looking to transfer their benefits, take an open market option or carry out an option that uses the pensions flexibility rules introduced on 6 April 2015.

Please complete this form as evidence that, regardless of the recommendation you gave, you've made the customer aware of the potential risks of their choice to give up their guaranteed income. Please make sure all sections are completed and the form is correctly signed and dated.

Customer's full name:	
Customer's ReAssure policy number:	
Name of ReAssure scheme:	
Date advice given:	
Financial Adviser's company name:	
Adviser name:	
Financial Adviser's company address:	
Postcode:	
Email address:	
Contact number:	
Financial Services Register number:	

Have you given full advice to the client in respect of this transaction?	☐ Yes	☐ No
Have you given abridged advice to the client in respect of this transaction?	☐ Yes	☐ No
Is the client deemed an insistent client?	☐ Yes	☐ No
Was the recommendation to transfer or to give up the safeguarded benefits?	☐ Yes	☐ No

ReAssure

Declaration: By signing below you are confirming that you have given advice about the proposed option, to the named customer on the policy numbered above and that you have the relevant FCA permissions to carry out this activity under article 53E of the Financial Services & Markets Act 2000 (Regulated Activities) Order 2001.

Adviser signature:	
Date:	